

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

INCOMPLETE

Attorney Docket No. BIOL0038

Date: February 21, 2002

Inventor(s): William E. Ortyn and David A. Basiji

Title METHOD AND APPARATUS FOR LABELING AND ANALYZING CELLULAR COMPONENTS

FULL NAME OF 1ST NAMED INVENTOR: William E. Ortyn COUNTRY OF CITIZENSHIP: US

RESIDENCE ADDRESS OF INVENTOR: 11546 Matsu Place, Bainbridge Island, Washington 98110

FULL NAME OF 2ND NAMED INVENTOR: David A. Basiji COUNTRY OF CITIZENSHIP: US

RESIDENCE ADDRESS OF INVENTOR: 6538 Greenwood Avenue North, Seattle, Washington 98103

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Director of the Patent and Trademark Office
Box Patent Application
P.O. Box 2327
Arlington, VA 22202

- ☒ X Fee Transmittal (BELOW—NO FEE INCLUDED WITH INCOMPLETE APPLICATION FILING)
- ☒ X Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
- ☒ X Specification [Total Pages 57]
- ☒ X Drawing(s) (35 U.S.C. 113) [Total Sheets 21] [FORMAL]
- ☐ 6. Oath or Declaration [Total Pages]
- ☐ a. Newly executed (original or copy)
- ☐ b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]
- ☐ i. DELETION OF INVENTOR(S)
- ☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 C.F.R. § 1.76
- ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
- ☐ 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- ☐ a. Computer Readable Form (CRF)
- ☐ b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper
- ☐ c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ 9. Assignment Papers (cover sheet & document(s))
- ☐ 10. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
- ☐ 11. English Translation Document (if applicable)
- ☐ 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- ☐ 13. Preliminary Amendment
- ☒ X Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
- ☐ 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ 16. NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ 17. Other:
- ☐ 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

This application is based on a prior co-pending provisional application Serial No. 60/270,518, filed on February 21, 2001, the benefit of the filing date of which is hereby claimed under 35 U.S.C. § 119(e).

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below:

LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004

Telephone: 425-688-8816

Facsimile: 425-646-6314

METHOD OF PAYMENT (check one)		FEE TRANSMITTAL																																																	
1. The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number _____ Deposit Account Name: _____ Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 _____ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance _____ 2. Payment Enclosed: _____ Check (No. _____) Money Order _____ Other _____ X Payment NOT Enclosed		3. ADDITIONAL FEES (Continued) <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr> <td>SUBTOTAL (3)</td> <td>\$</td> </tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Director		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties)		Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		SUBTOTAL (3)	\$
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FEES (SUBTOTALS (1) & (2)) \$ 3. ADDITIONAL FEES Surcharge - late filing fee or oath _____ Surcharge - late provisional filing fee or cover sheet _____ Non-English specification _____ Request for reexamination _____ Requesting publication of SIR after Examiner action _____ TOTAL FEES ENCLOSED: \$ -0-																																																			

Typed/Printed Name

Ronald M. Anderson

Signature

Ronald M. Anderson

Registration Number: 28,829

Date: February 21, 2002

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL744182805US

Date of Deposit: February 21, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Director of the Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

KATHY PAULINO

(Name of person mailing paper/fee)

Kathy Paulino
(Signature of person mailing paper or fee)